

**Revised
Memorandum
of
Understanding (MoU)**

On
Support for Statistical Strengthening

Between

Government of India

and

Government of Uttar Pradesh

Uttar Pradesh

MEMORANDUM OF UNDERSTANDING [MoU] REVISED

1. In continuation of the MoU signed on 03.11.2015 between the Government of India and the Government of Uttar Pradesh this revised MoU is made on the ~~30th~~ ^{30th} June, 2018 between the President of India, acting through **Shri T.K. Basu, Additional Director General Ministry of Statistics & Programme Implementation, (MoSPI) Government of India**, [hereinafter called the 'FIRST PARTY' which expression shall unless repugnant to the context of meaning thereof, includes its successor in interest and permitted assigns) of the one part and governor of the state of Uttar Pradesh acting through **Shri Sanjiv Saran, Additional Chief Secretary, Planning Department, Government of Uttar Pradesh** (hereinafter called the 'SECOND PARTY' which expression shall unless repugnant to the context of meaning thereof, includes its successor in interest and permitted assigns) of the other part.
2. WHEREAS, it has been the concern of the FIRST PARTY to strengthen the Statistical System of the States and Union Territories (UTs) of the Union of India, and WHEREAS THE FIRST PARTY is committed to ensure to improve the coverage, quality, timeliness and credibility of 20 key Statistical Indicators, and WHEREAS in pursuance of the aforementioned commitments, the FIRST PARTY has launched a Scheme called 'India Statistical Strengthening Project' (ISSP), since renamed as Scheme on "Support for Statistical Strengthening (SSS)" **which is now a Central Sector Sub-Scheme under the umbrella scheme 'Capacity Development' of the Ministry.**
3. The SSS would be primarily focusing its interventions in respect of **nine** selected items, which are given in **Annexure-I** of this document.
4. The FIRST PARTY on its part, to provide the necessary available assistance of **Rs.43.86 Crore, (Forty Three Crore and Eighty Six Lakh)**, component-wise delineated, as under:

Allocation

(Rs in Crore)

Sl. No	Themes/ Items	Total Cost
1	2	3
1	Information Technology (IT)	4.082
2	Preparation of State Strategic Statistical Plan(SSSP) and signing of MOU by States with Govt. of India	0.1
3	Implementation of recommendations of Technical Groups / Bodies for filling up existing and expected / emerging data gaps, including State/UT specific additionalities	7.8927
4	HRD issues, with a focus on Training for Capacity Development and Skills Enhancement/ upgradation, including support to Regional Training Centres.	7.5
5	Introduction of Innovative Techniques and Methodologies for improving the efficacy of statistical processes and operations	0.68
6	Holding of regular/periodic (say once every year) User-Producer dialogues, stake-holders' consultations and Conduct of periodic (say annual) surveys on user-satisfaction.	1.61
7	Dissemination of Annual Reports on the performance of State Statistical Systems and improving the cost effectiveness and ease of data access.	0.75
8	Data quality and efficiency improvement measures.	17.0627
9	Advocacy Issues viz. Publicity and IEC (Information, Education and Communication) to improve usage of Statistical Products & services	2.49
	Total(*)	42.1674#

* Amount of Rs. 6.10 crore has already been disbursed under the earlier MoU.

Total allocation of UP is Rs. 43.86 crore, out of which an amount of Rs. 0.8346 crore has been spent for completing the construction of two Divisional Offices at Kanpur and Basti whose construction has already started and amount of Rs. 0.858 crore has already been spent for purchase of laptops/desktops and LCD projectors which was permissible under the earlier MoU.

5. The SECOND PARTY would need to provide, whenever and wherever required, the necessary land and/or buildings, manpower, and also meet all associated recurring expenditure from their own resources.
6. Having signed the letter of participation for the Support for Statistical Strengthening (SSS) Scheme on **05.05.2008**, the SECOND PARTY had entered the second stage of its participation, namely, the implementation the State Programme, preparing and signing the MoU between the FIRST PARTY and the SECOND PARTY on 3rd November, 2015. Subsequently, in view of change in scheme pattern and conditions stipulated, both the parties have agreed to implement this revised MoU.
7. This revised MoU is an operational document laying out an agreed programme for (a) the detailed implementation of the State Programme which reflects statistical priorities of both the FIRST and the SECOND PARTY, to the extent it concerns the SECOND PARTY and (b) the resources that will be made available by FIRST

PARTY to the SECOND PARTY for its implementation. In return for both financial and technical support received from FIRST PARTY, SECOND PARTY agrees to improve the coverage, quality, timeliness and credibility of its official statistics.

8. This revised MoU including its annexes commits SECOND PARTY to a medium-term strategic framework detailing specific agreed outputs and the actions for achieving them over project period, in accordance with the State Programme operational improvements and capacity building that the SECOND PARTY undertakes to carry out for strengthening the State Statistical System in Uttar Pradesh and also the Operational Guidelines of the scheme. **The FIRST PARTY would provide installments only on achievement of progress by SECOND PARTY in terms of agreed benchmarks comprising a selection of outputs and actions.** This revised MoU commits SECOND PARTY to provide the recurrent resources needed to implement the State Programme.
9. The SECOND PARTY has agreed to subscribe and conform to the National Vision and Strategic Policy framework. SECOND PARTY would need to collect, compile and disseminate the data/statistics as prescribed in the revised MoU in accordance with the norms and standards as may be issued from time to time by the Government of India.
10. The preparation of this revised MoU has been based on prior consultation between FIRST PARTY and SECOND PARTY. FIRST PARTY and SECOND PARTY consider that this revised MoU provide a realistic process of reforms, operational improvements, and capacity building which will enable SECOND PARTY, over time, to be able to meet its commitments in respect of providing the prescribed data/statistics for the compilation of national statistics according to the set standards, and also to meet SECOND PARTY's own additional needs for data/ Official Statistics.

IMPLEMENTATION PLAN

11. SECOND PARTY agrees to carry out the reforms, operational improvements and capacity building program as set out in State Programme, **in accordance with the Operational Guidelines of the scheme**, to improve the coverage, quality, timeliness, and credibility of statistics compiled and disseminated by SECOND PARTY.
12. In accordance with the State Programme and the consultations had between FIRST PARTY and SECOND PARTY for the preparation of this revised MoU, SECOND PARTY agrees that it will carry out the activities /processes/actions to achieve required outputs as stated in **Annexure-I** of this revised MoU. The detailed Plan of Action for completing the activities/ process/actions as listed out at Annexure-I is provided at **Annexure-III** as agreed by both parties. Expected Statistical Outcomes/products of the State programme are listed at **Annexure- IV** of this revised

MoU. The implementation of the scheme will be strictly in accordance with the **Operational Guidelines of the Scheme.**

13. The component wise allocations, is given at **Annexure-II** to this revised MoU.

RESOURCE REQUIREMENTS AND DISBURSEMENT

14. The funds allocated (excluding amount which was already been released as seed money) is be released in 3 installments of 25%50% and 25% respectively. Since Rs 6 crore had been released earlier in November, 2015, on signing of the revised MoU, the adjusted/remaining portion of the first installment in the form of Grant-in-Aid would be released. The next installments of funds would be released as per the following conditions:-

- Utilization of at least 80% of all previously released funds and furnishing utilization certificate to that effect, giving detailed item-wise, activity-wise utilization figures;
- Furnishing of detailed achievement-cum-performance report, reflecting the commensurate achievement of at least 80% of physical targets in each of the activities/items, also explaining the manner in which the funds have been utilized and the improvements effected through the funds.

15. The progress will be reviewed by the FIRST PARTY before release of the next installment to the SECOND PARTY. **The utilization of funds should be for the intended purposes, adhering to the financial, accounting and audit procedures delineated under 3.3 ('Financial Guidelines) of the Operational Guidelines.**

OTHER CONDITIONS FOR THE RELEASE OF FUNDS

16. FIRST PARTY will make financial transfer to SECOND PARTY through PFMS to the dedicated Bank Account created by the SECOND PARTY for the purpose. The SECOND PARTY will follow the PFMS route for disbursement/ utilization of funds thereof.

REPORTING PROCESS AND TIME TABLE

17. The SECOND PARTY will send regular progress reports till the completion of the project (monthly, and also whenever required in between, for reviews etc.).

INDICATORS OF PROGRESS

18. The reporting specified in para-17 above, will comprise reports on achievement as against the envisaged outputs as specified in **Annexure-I** and **Annexure III** and the stages of achievements against envisaged outcomes specified in **Annexure-IV** of this revised MoU. The SECOND PARTY will monitor all the activities detailed in the revised MoU periodically on quarterly basis.

PERIODIC REVIEW

19. Reports sent by the SECOND PARTY to the FIRST PARTY will be subject to scrutiny by FIRST PARTY, including Joint Review Missions at the field level,

PERIOD OF VALIDITY OF THE MOU

20. This revised MoU will be valid from the date of its signing by both the parties concerned till the completion of the scheme or till **31st March, 2020** whichever is earlier.

COMPLETION OF THE PROJECT

21. All the activities would be completed by the SECOND PARTY by 31st March 2020 and a detailed Completion Report of all activities listed out in **Annexure-I** and **Annexure-III** and outcomes of **Annexure-IV**, and the financial utilization as per **Annexure-II**, with the approval of the State High Level Steering Committee, may be forwarded to the FIRST PARTY forthwith for confirmation/acceptance by the High Level Steering Committee on Support for Statistical Strengthening (SSS). **In addition, the outputs of the scheme, clearly documented in the Output registers,(as delineated in Chapter IV, para 4.2 of the Operational Guidelines), also need to be forwarded.**
22. Any unutilized funds released to the SECOND PARTY will be returned to the FIRST PARTY within a period of 90 days.
23. Through this revised MOU, both parties affirm their commitment to the implementation of SSS and the SECOND PARTY agrees to implement and carry out all the activities under the revised MOU, in accordance with the operational Guidelines of the Scheme.

Signed on 30th June, 2018.

For and on behalf of the Governor
of Uttar Pradesh

(Shri Sanjiv Saran)

Additional Chief Secretary
[Planning Department]
Government of Uttar Pradesh

संजीव सरान
अतिरिक्त मुख्य सचिव,
उ० प्र० शासन

For and on behalf of the
President of India

(Shri T.K. Basu)

Additional Director General
Ministry of Statistics and Programme
Implementation
Government of India

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